

ADVISORY CIRCULAR AC 11-001

UNMANNED AERIAL VEHICLE (UAV)

Section 1 General

1.1 PURPOSE

This Advisory Circular provides specific guidance to an individual or an organization seeking to obtain a Certificate of Authorization to operate unmanned aerial vehicle.

1.2 APPLICABILITY

This Advisory Circular applies to all operators and organizations involved in the operation of unmanned aerial vehicle except for recreational, hobby, model and sport UAV operation.

1.2.1 DEFINITION

- A. The following definition is used in this advisory circular
 - 1) Unmanned Aerial Vehicle (UAV). Means a powered, unmanned aerial vehicle, other than a model aircraft used for sport and recreation, which may be operated autonomously beyond line of sight of the controller but, in all cases, would be subject to remote control by the controller.

1.3 RELATED REGULATIONS

The Philippine Civil Aviation Regulations Part 11; 11.11 is directly applicable to the guidance contained in this Advisory Circular.

1.4 GENERAL REGULATORY OPERATION CONCEPTS

1.4.1 CAAP APPROVAL REQUIRED

- A. CAAP approval (or Authorization) is required before an individual or organization provides any UAV operations required by PCARs.
- B. For an individual, that approval can be in a form of a-
 - UAV Controller Certificate of Authorization
 - Restricted Radio Operator Certificate issued by the NTC
- C. For an organization, that approval can be in a form of-
 - UAV Operator Certificate of Authorization

1.4.2 CERTIFICATE OF AUTHORIZATION REQUIRED

A. Any organization providing UAV operation must hold a Certificate of Authorization.

1.4.3 UAV CONTROLLERS MUST BE AUTHORIZED BY CAAP

A. No organization shall use any person to operate a UAV Controller unless he/she has been authorized by the CAAP.

Section 2 Unmanned Aerial Vehicle (UAV) Certification Process

2.1 Phase One: Pre- Application

2.1.1 DECLARATION OF INTENT

- A. The organization or individual intending to request operational approval should formally submit a Letter of Intent to the FSIS, CAAP.
- B. This is normally accomplished by the submission of a formal letter of intent (or the Pre-Application Statement of Intent form).

2.1.2 PRE-APPLICATION MEETING

- A. The Operator is entitled to a pre-application meeting to provide more specific guidance regarding the submission of a complete formal application.
- B. The operator may, however choose to submit their application without attending the meeting.

2.1.3 PRE-APPLICATION MEETING CONTENTS

This meeting will normally contain a discussion of the PCAR Part 11.11 requirements and this Advisory Circular.

2.2 PHASE TWO: INITIAL APPLICATION REVIEW

2.2.1 HANDLING OF THE FORMAL APPLICATION

- A. The submission of the formal application will not be treated by the CAAP as formal event, it will simply be date-stamped for processing.
- B. The organization may have meeting to present the application, but the CAAP will not comment on the quality of the application at this phase.

2.2.2 FORMAL APPLICATION REVIEW MEETING

The CAAP will hold an internal meeting at a later day to determine if the contents of the application are acceptable for processing. The standard used will be that the application—

- Is complete (all required documents); and
- Complies with the general requirements for such applications.

2.2.3 FORMAL REJECTION OF ACCEPTANCE

- A. Shortly after the CAAP's internal meeting, they will schedule a formal meeting to officially—
 - 1) Reject the application, or
 - 2) Accept the application package for processing through the document conformance phase.
- B. If the initial review and meeting are found to be acceptable, the CAAP will then accept the documents for processing.

2.3 Phase Three: Document Conformance

2.3.1 ORGANIZATION

- A. Evaluation of the applicant's organization and key personnel associated with the proposed operation will occur early in this phase.
- B. If the CAAP has any objections or reservations regarding the proposed organization or key personnel, these will be shared with the applicant as soon as possible.

2.3.2 REQUIRED MANUALS AND DOCUMENTS

- A. The CAAP will evaluate the proposed UAV documents through comparisons of the proposed information, policy and procedure with the-
 - 1) Submitted Operations Manual and Flight Manual;
 - 2) Command Clearance (J2 AFP); and
 - 3) UAV Insurance Third Party Liability (TPL)
- B. Interim approvals and acceptance will be formal provided to the applicant as each document is reviewed and found to be acceptable.

2.3.3 FORMS AND RECORDS

- A. The applicant will be using forms, records and other documents to ensure conformance with the applicable requirements.
- B. These documents must be easy to understand and use.

2.4 PHASE FOUR – INSPECTION AND DEMONSTRATION

- A. This phase consists of a series of sampling inspections by the CAAP as the applicant begins training and takes possession of their facilities and UAVs.
- B. These inspections may overlap with some document evaluation actions depending on the applicant's readiness.

2.4.1 INSPECTION OF FACILITIES, UAV & SUPPORT ARRANGEMENTS

After completion of the Document Conformance Phase, the following observations and inspections will be conducted to assess that infrastructure and support arrangements are acceptable to the CAAP.

- 1. Manual Inspection
- Facility Inspection
 Organization Inspection
- 4. UAV Inspection and Demonstration
- 5. Personnel Qualification

2.5 PHASE FIVE - FINAL CERTIFICATION

2.5.1 Certificate of Authorization

- A. The following documents are the primary evidence to international civil aviation authorities that an Authorization holder has completed the safety certification process and on-going surveillance is being conducted.
- B. This must be received by the applicant before conducting any commercial unmanned aerial vehicle operations. No operations other than those listed in these documents are authorized for a certificate of authorization holder or its management, controller, or UAV.

Section 3 Contents of the Application

3.1 PRIMARY APPLICATION DOCUMENTS

The application documents that must be submitted in separate documents to include the following:

- 1) Completed PASI application;
- 2) Operation Manual
- 3) Command Clearance (J2 Clearance)
- 4) Key Personnel resumes;
- 5) UAV Controller qualification

- 6) UAV Insurance Third Party Liability (TPL)
- 7) Export Airworthiness Certificate

3.2 OTHER REQUIRED DOCUMENTS AND INFORMATION

The following may be submitted as separate documents or included within the primary application documents—

- 1) Organizational structure
- 2) Designated accountable manager
- 3) List of UAVs
- 4) Proposed Operation
- 5) List of UAV Controllers

Section 4 Registration of Unmanned Aerial Vehicle

4.1 CERTIFICATE OF REGISTRATION (CofR)

- A. The Certificate of Registration shall be conclusive evidence of nationality purposes, but not in any proceeding under the laws of the Republic of the Philippines.
- B. The Certificate of Registration is a conclusive evidence of ownership, except in a proceeding where such ownership is, or may be, at issue.
- C. A specific Certificate of Registration (CofR) will be issued to UAV, unique from the usual CofR, utilizing the "RP-U" marking.

4.2 APPLICATION FOR UAV REGISTRATION

Application for Certificate of Registration shall be made in writing, signed and sworn to by the owner of the UAV. The application shall also state:

- i) the date and place of filing;
- ii) the name of owner;
- iii) the address of the owner or lessee;
- iv) the intended use of the UAV;
- v) pictures in colored print, at least 4-'x4'-, consisting of front, back, left and right side view of the UAV;
- vi) the specification construction and technical description of the UAV;
- vii) The originals or certified original copy of mode of acquisition of said UAV; and
- viii) Such other relevant information and safety issues concerning said UAV

4.3 ISSUANCE OF CERTIFICATE OF REGISTRATION (CofR)

- A. Upon consideration of the application for registration, the Director General or his representative and after evaluation/inspection/verification that the UAV is eligible for registration, such UAV shall be registered under the provisions of Section 4 of this supplement.
- B. The date of the issuance of the CofR must not be earlier than the received date of the application for the CofR.
- C. No registration number shall be assigned to more than one (1) UAV.

Note: For the purpose of establishing the issuance date of the Registration Certificate for a resubmitted application form, the aforesaid form must be accompanied by the application form that was initially submitted.

4.3.1 ORIGINAL ISSUANCE OF CERTIFICATE OF REGISTRATION

The procedures prescribed herein are consistent with any other specific procedures

prescribed in dealing with the UAV registration of either an initial/original, new & or used UAV.

- 1. Obtain from the applicant a letter of intent expressing its aim to register a UAV in the Republic of the Philippines. Also, include in the request the reservation of UAV registration number. The Chief: Aircraft Registration Section, shall review and determine the validity and authenticity of the said request.
- 2. For UAV to be registered as RP-U, the applicant must submit another written request to the Assistant Director General II, Flight Standards Inspectorate Service, through the Chief, EARD-ARS, who will assign a CAAP airworthiness inspector to conduct UAV inspection. The applicant shall make the necessary arrangements for the inspection of the UAV. The expenses of which shall be assessed and billed by the CAAP Travel Office.
- 3. Applicant shall accomplish CAAP Form 1028-I and substantiate the application with the following documents for review/evaluation:
 - a) Letter approval on the assigned registration;
 - b) Notarized Application for UAV Registration (CAAP Form 1028):
 - c) Registration Fee:
 - d) Recording Fee (if applicable);
 - e) CAAP Accounting Clearance;
 - Corporation Document (SEC/DTI) (if applicable);
 - g) Certificate of Authorization & Operations Specification (if applicable); and
 - h) Such other documentary supporting documents that may be required
- 4. After the inspection/evaluation, the Chief: Aircraft Registration Section shall process for the Assistant Director General II (ADG II, FSIS)' recommendation to the Director General's consideration/approval the original Certificate of Registration with maximum validity period of twelve (12) months.
- 5. Certificates shall be issued in duplicate originals, one for the operator and one for the CAAP record file.
- 6. CAAP reserves the right to deny, withhold or revoke any application with a false or incomplete data/information for the purpose of issuance of UAV registration certificate.

Section 5 Certification of UAV Controller

5.1 **UAV CONTROLLER CERTIFICATE OF AUTHORIZATION**

The UAV Controller Certificate of Authorization shall contain the following information:

- Name of the person to be certified.
- 2. The imposed condition for certification if any.

5.2 APPLICATION FOR UAV CONTROLLER CERTIFICATE OF AUTHORIZATION

Application for UAV Controller Certificate of Authorization shall be made in writing, signed and sworn to by the applicant. The application shall also state the following:

- The date and place of filing;
 The name of applicant
- The address of the applicant
- 4. The intended UAV to be controlled
- 5. Details of any flight crew license, air traffic control license or operations officer license that the applicant holds (include details of ratings, endorsements and qualifications)
- 6. Details of aeronautical experience that the applicant has.
- 7. Details of any aviation theory examinations the applicant has passed (other than any examination passed in the course gaining a license mentioned in paragraph no. 5.
- Details of applicant's experience in operating UAVs.
- 9. Evidence of the completion of any training course in UAV operation that the applicant has undertaken.

5.3 ISSUANCE OF UAV CONTROLLER CERTIFICATE OF AUTHORIZATION

Upon consideration application for UAV Controller Certificate of Authorization, the Director General or his representative and after evaluation/verification of the submitted application, the UAV Controller Certificate of Authorization may be issued to the applicant.

5.4 PROCEDURES FOR THE ISSUANCE OF UAV CONTROLLER CERTIFICATE OF AUTHORIZATION

- 1. Submit the application with the required documents prescribed in Subsection 5.2 to the Licensing and Certification Department.
- 2. After evaluation/verification of the submitted application, the Chief: LCD shall process for the Assistant Director General II (ADG II, FSIS) recommendation to the Director General's consideration/approval the issuance of UAV Controller's Certificate.
- 3. The UAV Controller Certificate of Authorization remains in force unless otherwise cancelled.

APPENDIX A PRE-APPLICATION STATEMENT OF INTENT

| PRE-APPLICATION STATEMENT OF INTENT | | | | | | |
|--|-----------------------------|--|---------------------------------------|--|--|--|
| A. This is to give notice of intent to make application for the CAAP certification for Unmanned Aircraft Vehicle (UAV) | | | | | | |
| B. Company Specific Information | | | | | | |
| Applying Company Name: | | 5. Proposed Start Operating Date: | | | | |
| 2. Assigned Company Number | | 6. Economic Author | ority Approved? (if required) | | | |
| 3. Mailing Address: | | 7. Physical Address: Principal Base of Operations: | | | | |
| 4. Telephone and FAX Information | -mail Address: | | | | | |
| C. Proposed Management Postholders | | - | · · · · · · · · · · · · · · · · · · · | | | |
| Title/Post/Position 1. CEO/Managing Director? | Name (Last, First, M | ddle | Telephone & Email | | | |
| 2. Chief UAV controller? | | | · · · · · · · · · · · · · · · · · · · | | | |
| 3. Maintenance Controller? | | | · | | | |
| | | | | | | |
| D. Proposed Operations (CoA Applicants | 5) | | · | | | |
| Type of Operations | | | Area of Operations | | | |
| Aerial Surveying | Aerial Advertis | ing | | | | |
| Aerial Spotting | Powerline Insp | ection | | | | |
| Aerial Application | | | | | | |
| E. Aircraft Data:(UAV Applicants) | | | | | | |
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| Aircraft Make-Model: | Number of Aircr Operated | | | | | |
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| 2. | | | | | | |
| 3. | | | | | | |
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| F. Proposed Organization Structure: | | | | | | |
| G. Certification of Intent: | | | | | | |
| Signature: Date: | | Name and Title: | | | | |
| CERTIFICATION TEAM ASSIGNMENTS | | | | | | |
| CERTIFICATION TEAM ASSIGNMENTS Certification Project Coordinator | | | | | | |
| Flight Operations Inspector | | | | | | |
| Maintenance Inspector | | | | | | |
| | | | | | | |
| The above team certification assignment is a | nuthorized. | | | | | |
| Date: Signature: | Date: Signature: | | | | | |

APPENDIX B APPLICATION FOR UAV REGISTRATION FORM

CAAP Form No. 1028 UAM Revise d September 2014 Ref.RA-9497 ☐ FIXED WING CIVIL AVIATION AUTHORITY OF THE PHILIPPINES ■ New □ ROTARY WING Used APPLICATION FOR UAV REGISTRATION BALLOON OTHERS Repaired The Director General Civil Aviation Authority of the Philippines Date: I hereby apply for ORIGINAL/ RENEWAL/TRANSFER of Registration of UAV with RP-U I. NAME OF OWNER/OPERATOR ADDRESS OF OWNER CITIZENSHIP Partnership of joint enterprise, state citizenship of each member Corporation, state percentage of capital stock owned by a Filipino II. UAV MANUFACTURER ADDRESS MODE DATE MANUFACTURED SERIAL NUMBER HOW ACQUIRED GROSS WEIGHT (Kg) INTENDED USE ${\sf COMMERCIAL}\ (including\ Film\ or\ Video\ R\ ecording,\ Research\ and\ D\ evelopment,\ Mapping,\ instructional\ flight\ and\ others)$ III. ENGINE MANUFACTURER TYPE MODEL SERIAL NUMBER(S) No. 1 No. 2 No. 4 No. 3 M. PROPELLER/ROTOR HUB MANUFACTURER: MAIN : TAII · MODEL SERIAL NUMBER(S) No. 1 No. 2 No. 3 No. 4 MAIN ROTOR TAIL ROTOR V. REGISTRATION FEE :P Official Receipt No. Dated: :P VI. ENERGY TAX Official Receipt No. Dated: VII. PENALTY FEE :P Official Receipt No. Dated: VIII. TRANSFER FEE :P Official Receipt No. Dated: IX. RECORDATION FEE Official Receipt No. Dated: I hereby certify that all the above statements/ data are true and correct to the best of my knowledge. (Signature of Owner/Representative) SUBSCRIBEAND SWORN TO before me this day of 201 affiant exhibiting his Identification Card No. (Notary Public) Doc No. Until December 31. Page No. Book No. Series of APPROVED AIRCRAFT REGISTRATION OFFICER

Date:

APPENDIX C UAV REGISTRATION FORM

| CIVIL AVIATION AUTHORITY OF THE PHILIPPINES UAV REGISTRATION |
|--|
| 1. Nationality & registration mark: 2. Manufacturer and Manufacturers designation of aircraft: 3. Aircraft serial number: |
| |
| 4. NAME OF OWNER/LESSEE: |
| 5. Address: |
| |
| 6. It is hereby certified that the above described aircraft has been duly entered in the register of the Civil Aviation Authority of the Philippines in accordance with the Convention on International Civil Aviation dated 7 December 1944 and in accordance with the Philippines Civil Aviation Regulations Part 4. |
|) () () () () () () () () () (|
| DATE OF ISSUE: Director General |
| REMARKS: |
| NEXT REVALIDATION DATE: |
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| 00000000000 |
| THE ORIGINAL CERTIFICATE SHALL BE CARRIED BY THE CONTROLLER WHEN OPERATING A UAV CAAP Form No. 9497-UAV Revised September 18, 2014 |

APPENDIX D SPECIAL CERTIFICATE OF AIRWORTHINESS

| | REPUBLIC OF THE PHILIPPINES NT OF TRANSPORTATION AND COMMUNI | |
|--|---|--|
| CIVILAVI | ATION AUTHORITY OF THE PHILI MIA ROAD, PASAY CITY 1300 | PPINES |
| Original | | |
| Renewal SPECIAL | CERTIFICATE OF AIRW | VORTHINESS |
| 1. NATIONALITY & REGISTRATION | 2. MANUFACTURER & MANUFACTURER'S | 3. AIRCRAFT SERIAL NUMBER |
| | | Distriction of Control Bridge |
| MARK | DESIGNATION OF AIRCRAFT | |
| | DESIGNATION OF AIRCRAFT | 0020202020202020 020202020202020 0202020202020 |
| | DESIGNATION OF AIRCRAFT | |
| MARK | DESIGNATION OF AIRCRAFT Type: | Fixed Wing Rotorcraft |
| 4. Category: 5. This Certificate of Airworthiness 1944 and in accordance with Part 5 of | . <u></u> | Civil Aviation dated 7 December |
| 4. Category: 5. This Certificate of Airworthiness 1944 and in accordance with Part 5 o mentioned aircraft which is consider pertinent operating limitations. | Type: is issued, pursuant to the convention of International fthe CIVILAVIATION AUTHORITY OF THE PHIL | Civil Aviation dated 7 December |
| 4. Category: 5. This Certificate of Airworthiness 1944 and in accordance with Part 5 o mentioned aircraft which is consider pertinent operating limitations. Date of Issue | Type: is issued, pursuant to the convention of International f the CIVILAVIATION AUTHORITY OF THE PHIL ed to be airworthy when maintained and operated in a | Civil Aviation dated 7 December PPINES, in respect of the above-coordance with the foregoing and |
| 4. Category: 5. This Certificate of Airworthiness 1944 and in accordance with Part 5 o mentioned aircraft which is consider | Type: is issued, pursuant to the convention of International fthe CIVILAVIATION AUTHORITY OF THE PHIL ed to be airworthy when maintained and operated in a | Civil Aviation dated 7 December |

APPENDIX E CERTIFICATE OF AUTHORIZATION



CERTIFICATE OF AUTHORIZATION

| MIA Rd. corner Ninoy | REPUBLIC OF THE PHILIPPINES | Tel: +632-8799223 |
|---|--|--|
| Aquino Ave, Pasay City Metro Manila, Philippines | ISSUING AUTHORITY: | Email: fltops@fsis.caap.gov.p |
| 1300 | CIVIL AVIATION AUTHORITY OF THE PHILIPPINES | |
| COA#: | OPERATOR NAME: | Mr. JOHN A. DOI President |
| XX-XXXXX | UAV OPERATOR, INC. | E-mail: johnadoe@yahoo com |
| Expiry Date: DD MMM YYYY | Operator Address: 1 MIA RD. Cor. NAIA Rd., Pasay City Philippines 1300 | Contact details: Tel: +632 123 4567 |
| | Tel No.: +632 123-4567 Fax No.: +632 123-4567 | Fax: +632 123 4567 |
| | V OPERATOR, INC. is authorized to perform to use with the Philippine Civil Aviation Regulations Part 11, | |
| Date of Issue: DD MMM YYYY | | |

APPENDIX F AIRMAN PERSONAL LICENSE FOR UAV APPLICATION FORM

| | | | APF RMAN P HER THAN | | IAL LICE | NSE In | spectorate Serv | ce or a CAAF | DNS y to the Flight Standards Authorized Person, If use an attachment |
|---|--|--|---|--|---|---|--|--|--|
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| 3. TELEPHON | NE AND FAX | | | | 4, CITY | PROVINCE | ZIP COD | E | COUNTRY |
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CAAP Form 542 [1]2012

APPLICATION FOR AIRMAN PERSONAL LICENSE

INSTRUCTIONS
Print or type. Submit original only to the Flight Standards
Inspectinate Service or a CAAP Authorized Person. If

Control Number

| CAAP | | HAN FLIGHT CREWME | | e is required, use an attachment |
|--|------------------------------------|-----------------------------------|--|------------------------------------|
| AUTHORIZED PERSON'S | REPORT | | | |
| I have personally review the license or rating sou | | rience and/or training records, a | nd certify that the individual meets the pertine | nt requirements of PCAR Part 2 for |
|). License or Rating for Which | n Tested | (b). Date | (c). Examiner's Signature (Sign) | |
|). License No. | (e). Designation No. | (f). Designation Expires | (g). Examiner's Name (Print Name) | |
| I have personally condu | cted the skill test of this | applicant in accordance with per | tinent procedures and standards with the resu | ults indicated below. |
| Approved – Recommen | | c. Location of Test | | d. Duration |
| License or Rating for Which | nend issue Disapproval I Tested | f. Date | g. Examiner's Signature (Sign) | |
| License No. | i, Designation No. | j. Designation Expires | k. Examiner's Name (Print Name) | |
| ATTACHMENTS: | | | | |
| Language Proficiency F | Report 6, | Airman's Identification (ID) | | |
| Knowledge Test Report | | Medical Certificate | 11, | |
| Skill Test Report & Flig | | NTC License | 46 | |
| Notice of Disapproval | 9 | | 12. | |
| | | | 13. | |
| Superseded Airman Ce | rtificate 10. | | 14. | |
| DATE 4. TITLE | OR DESIGNATION NU | JMBER 5. SIGNATU | JRE | 6. CASORT-PEL Entry |
| NOTES: | | | | |
| | | | | |
| | | | | |

APPENDIX G UAV CONTROLLER

| | Republic of the Philippines VIII) Civil Aviation Authority of the Philippines |
|--------------|---|
| IV) Name: | Juan Dela Cruz |
| | V) Domicile: Manila, Philippines |
| | IVa) DOB: Manila |
| | VI) Nationality: Philippines |
| | IX) Has been found to be properly qualified to exercise the privileges appropriate to a: |
| | II) UAV Controller |
| III) Number | : 123456 X) Issue Date: 25 October 2013 |
| | XI) Ce Canut |
| VII) License | Holder LT GEN WILLIAM K. HÖTCHKISS III AFP (Ret) X) Director-General |

| XII) Ratings: | |
|---------------------------------------|--------------------------|
| Small UAV | |
| XIII) Limitations: | |
| Commercial | |
| | |
| XIII) Endorsements: | |
| Radio telephone operator author | ized. |
| Language Proficiency: Not Applicable. | |
| IX) Expiration Date: | Control Number: xxxxxxxx |

End of Advisory Circular